

1592 U.S. PTO  
051904

# UTILITY PATENT APPLICATION TRANSMITTAL

(only for new non-provisional applications under 37 CFR §1.53(b))

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
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15364 U.S. PTO  
10/849089

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May 19, 2004

Date of Deposit

Signature

EL 964822105 US

Express Mail No.

Transmitted herewith for filing is the patent application under 37 CFR 1.53(b) of:

AVENTIS DOCKET NO.: DEAV2003/0033 US NP

INVENTORS: NAZARE, et al.

TITLE: Azaindole-derivatives as factor Xa inhibitors

## APPLICATION ELEMENTS

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification Total Pages   
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) Total Sheets
4.  Oath or Declaration Total Pages 
  - a.  Newly unexecuted (original or copy)
  - b.  Copy from a prior application (37 CFR § 1.63(d))
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
5.  Power of Attorney Total Pages 
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR § 1.63(d))
6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Computer Readable Copy
- Paper Copy (identical to computer copy)
- Statement verifying identity of above copies

If a **CONTINUING** Application, check appropriate box, and supply the requisite information:

Continuation     Divisional     Continuation-in-part

of prior application no: \_\_\_\_\_, filed \_\_\_\_\_

Examiner \_\_\_\_\_, Group/Art Unit \_\_\_\_\_.

(The cross reference has been/will be inserted on page one of the specification).

This application claims the benefit of U.S. Provisional Application No. 60/507,141, filed September 30, 2003 and claims priority to European Application No. 03011304.7, filed May 19, 2003.

**Incorporation By Reference** (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

#### ACCOMPANYING APPLICATION PARTS

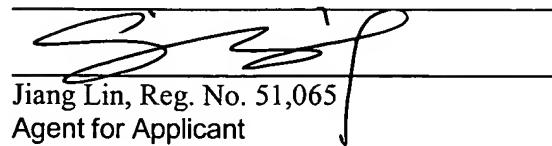
7.  Assignment Papers (cover sheet & document(s))
8.  37 CFR §3.73(b) Statement  
(when there is an assignee)
9.  Verified English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449       Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \*Small Entity Statement(s)       Statement filed in prior application,  
(PTO/SB/09-12)      Status still proper and desired
14.  Certified Copy of Priority Document(s) – 03011304.7 of May 19, 2003.  
(if foreign priority is claimed)
15.  Other: EPAVE – Application Data Sheet

#### CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

**005487**

(Insert Customer No. or Attach bar code label here)



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15000 U.S.P.T.O. 051904

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# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

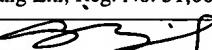
Application Number	Not Yet Known
Filing Date	Herewith
First Named Inventor	NAZARE
Examiner Name	
Group / Art Unit	

Attorney Docket No. DEAV2003/0033 US NP

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																							
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				<b>3. ADDITIONAL FEES</b>																																																																																																																																																							
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number <span style="border: 1px solid black; padding: 2px;">18-1982</span> Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Aventis Pharmaceuticals Inc.</span>				<table border="1"> <thead> <tr> <th>Large Entity</th> <th colspan="3">Small Entity</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English Specification</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for reexamination</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>205</td> <td>Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>465</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>725</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>985</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>160</td> <td>Notice of Appeal</td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>160</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>140</td> <td>Request for oral hearing</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - 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late filing fee or oath	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	1053	130	1053	130	Non-English Specification	1812	2,520	1812	2,520	For filing a request for reexamination	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	1251	110	2251	55	Extension for reply within first month	1252	420	2252	205	Extension for reply within second month	1253	950	2253	465	Extension for reply within third month	1254	1,480	2254	725	Extension for reply within fourth month	1255	2,010	2255	985	Extension for reply within fifth month	1401	330	2401	160	Notice of Appeal	1402	330	2402	160	Filing a brief in support of an appeal	1403	290	2403	140	Request for oral hearing	1451	1,510	1451	1,510	Petition to institute a public use proceeding	1452	110	2452	55	Petition to revive - unavoidable	1453	1,330	2453	650	Petition to revive - unintentional	1501	1,330	2501	650	Utility issue fee (or reissue)	1502	480	2502	235	Design issue fee	1503	640	2503	315	Plant issue fee	1460	130	1460	130	Petitions to the Commissioner	1807	50	1807	50	Petitions related to provisional applications	1806	180	1806	180	Submission of Information Disclosure Stmt	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	1809	770	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	1810	770	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	1801	770	2801	375	Request for Continued Examination (RCE)	1802	900	1802	900	Request for expedited examination of a design application
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## SUBMITTED BY

Complete (If applicable)

Name (Print/Type)	Jiang Lin, Reg. No. 51,065	Registration No. (Attorney/Agent)	51,065	Telephone	(908) 231-3582
Signature				Date	May 19, 2004

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Aventis Pharmaceuticals Inc. template